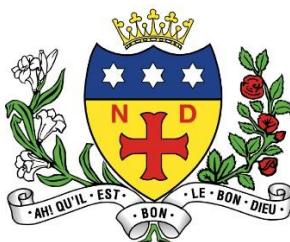


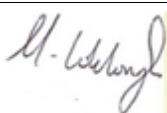
Code of Conduct for Directors in an Academy Trust Company in the Sheffield Catholic Schools Partnership

This document has been prepared using the CES model code of conduct, *with additions from the NGA model code of conduct which are shown in italics*

Sheffield
Catholic
Schools
Partnership



This Code of Conduct has been approved and adopted by the Board of Directors for use for Directors.

Date Approved:	01.12.21
Review Date:	Dec 2022
Signed by the Chair of the Board of Directors	
	

This document should be available to view on the Academy Trust Company's website. Directors are required to sign an undertaking which can be found overleaf.

As a member of the Governing Board of the Academy Trust Company I undertake that I:

- Will preserve and develop the Catholic character of the Academy Trust Company;
- Will not act in any way that is detrimental and/or prejudicial to the interests of Catholic education in the Diocese and the Academy Trust Company;
- Have read, understood and will adhere to this Code of Conduct and to the declaration in Appendix 1: Foundation Director of Academy Trust Company Declaration Form;
- Will also adhere to Appendix 2: the Nolan principles;
- Have read and understood any Diocesan and legal criteria for appointment and continued eligibility as a Director;
- Can confirm that to the best of my knowledge I am eligible as a Director;
- Declare that I am not disqualified by law from appointment or eligibility as a Director;
- Agree to DBS checks and any other relevant checks being conducted that may be required by law or good practice;
- Understand that any refusal to do so may result in the termination of any appointment.

Signed by the Director:	
Date:	
Printed Name:	
Type of Director:	

- ❖ Electronic signatures are acceptable
- ❖ Acceptance to the Code is required by signature annually from every Director (at the beginning of each academic year)
- ❖ Signed copies of this Code from each Director should be retained by the Clerk

THE ROLE OF THE GOVERNING BOARD

The term 'Governing Board' in this document means the board of Directors of the Catholic Academy Trust Company.

As a Governing Board, our overarching responsibility lies in ensuring that we comply with our legal and canonical duty to ensure that the Catholic character of the Academy Trust Company is preserved and developed and that this duty permeates everything that we do.

We understand that Foundation Directors are required, as the cornerstone of their role, to ensure this objective is achieved. However ALL Directors have a duty to preserve and develop the Catholic character of the Academy Trust Company in order to fulfil the objects set out in its governing documents.

OUR CORE STRATEGIC FUNCTIONS:

Further, in accordance with our legal obligations, we endeavour to operate at a strategic level, leaving the Academy Trust Company's senior leadership responsible and accountable for the operational day-to-day running of the Academy Trust Company. It is by achieving these aims that we can be sure that the Academy Trust Company has effective governance. Our core strategic functions, as a governing body, therefore, are in:

1. Ensuring clarity of Catholic vision, ethos and strategic direction;
2. Holding the appropriate senior leadership to account for the educational performance and Catholic character of the Academy Trust Company and its pupils; and for the internal organisation, management and control of the Academy Trust Company, including performance management of staff; and
3. Overseeing the financial performance of the Academy Trust Company and making sure its money is well spent;
4. *Ensuring the voices of stakeholders are heard (NGA).*

We understand that the Catholic Church expects Catholic schools to promote and uphold high standards, including academic standards as an integral part of its educational vision for the holistic formation of children and young people.

We understand that Canon 806§2 requires that Catholic schools are "... at least as academically distinguished as that in the other schools of the area" and that Directors should be mindful of this requirement in all that they do.

We adhere to the Church's social teaching which is a rich treasury of wisdom about building a just society and living lives of holiness amidst the challenges of modern society. Several of the key themes that are at the heart of Catholic social tradition and which should permeate through our school, are:

1. Life and dignity of the human person;
2. Call to family, community and participation;
3. Rights and responsibilities;
4. Options for the poor and vulnerable;
5. The dignity of work and the rights of workers;
6. Solidarity; and
7. Care for God's creation¹.

¹ <http://www.usccb.org/beliefs-and-teachings/what-we-believe/catholic-social-teaching/seven-themes-of-catholic-social-teaching.cfm>

AS DIRECTORS WE AGREE TO OUR COLLECTIVE RESPONSIBILITIES AS FOLLOWS:

Role & Responsibilities

- We will preserve and develop the Catholic character of the Academy Trust Company and all the academies within it. This responsibility will permeate throughout all of our actions within, and through all aspects of, the Academy Trust Company, the academies within it and the local community;
- We will ensure that the Academy Trust Company is conducted in accordance with all its governing documents, which include the provision of:
 - Canon law²;
 - The Curriculum Directory and Bishops' statements on religious education; and
 - Any Diocesan directives relating to schools.
- We will conduct the Academy Trust Company and its academies in accordance with the Diocesan trust deed;
- We will conduct the Academy Trust Company in accordance with its Articles of Association, and any Scheme of Delegation adopted by the Academy Trust Company;
- We will support and implement the policies and procedures of the Diocese, including the Diocesan Bishop's policies on education, including religious education, any Admissions Guidance issued by the Diocese, and the expectations of the Diocesan Bishops issued collectively, specifically the Bishops' Memorandum on the Appointment of Teachers in Catholic Schools;
- We will respond to the needs of the Catholic community as a whole as represented by the Diocesan Bishop, complying in all respects with Diocesan requirements;
- We will protect, promote and serve the Diocese in the ministry of our role as Directors faithfully and in particular in compliance with any Diocesan Protocols for a committed working relationship between the Diocese and the Academy Trust Company;
- We will consider not only the interests of the Academy Trust Company, but the interests of other Catholic schools, academies and colleges and of Catholic education throughout the Diocese;
- We will undertake to discharge our duties as Directors with due care and diligence;
- We will consider carefully how our decisions may affect the community and other schools and academies;
- We will attend relevant training including Diocesan training; Director induction and continuing professional development training, as required by the Diocese;
- We understand the purpose of the Governing Board and our role as Directors and the role of senior leadership;

² This means the Canon law of the Catholic Church from time to time in force, which includes the 1983 Code of Canon Law, and any Particular Law such as legislation of the Bishops' Conference, directives of the Diocesan bishop and legislation of the school's religious order (if any).

- We accept that we have no legal authority to act individually, except when the board has given us delegated authority to do so, and therefore we will only speak on behalf of the Governing Board when we have been specifically authorised to do so;
- We accept collective responsibility for all decisions made by the Governing Board or its delegated agents. This means that we will not speak against majority decisions outside the Governing Board meeting;
- We have a duty to act fairly and without prejudice, and in so far as we have responsibility for staff, we will fulfil all that is expected of a good employer;
- We will encourage transparency and will act appropriately;
- In making or responding to criticism or complaints affecting the Academy Trust Company we will follow the procedures established by the Governing Board;
- We will actively support and challenge the senior leadership;
- We will adhere to the Nolan principles set out in Appendix 2.

Commitment

- We acknowledge that accepting office as a Director involves the commitment of significant amounts of time and energy;
- We will each involve ourselves actively in the work of the Governing Board, and accept our fair share of responsibilities, including service on committees or working groups;
- We will make full efforts to attend all meetings and where we cannot attend explain in advance why we are unable to;
- We will get to know our Academy Trust Company well and positively respond to opportunities to involve ourselves in Academy Trust Company activities;
- We will visit the academies within our Academy Trust Company, with all visits arranged in advance with the staff and undertaken within the framework established by the Governing Board;
- *When visiting the school in a personal capacity (i.e. as a parent or carer), we will continue to honour the commitments made in this code;*
- We will evaluate our effectiveness as a Governing Board by way of completing a skills audit and a self evaluation form on an annual basis;
- We will consider seriously our individual and collective needs for continuous training and development as required by the Diocesan education service, and will undertake that relevant training and any mandatory training as may be required by law;
- We accept that in the interests of transparency, our full names, date of appointment, terms of office, roles on the Governing Board, attendance records, relevant business and pecuniary interests, category of Director and the body responsible for appointing us will be published on our Academy Trust Company's website and anywhere else as required by law, *including the DfEs national database of Directors (Get Information About Schools), some of which will be publicly available (NGA).*

Relationships

In all our relationships we shall exercise stewardship and draw on the teachings and example of Jesus Christ in that:

- All relationships will be built on the core values of the Gospel based on the Beatitudes summarised as:
 - Faithfulness and integrity;
 - Dignity and compassion;
 - Humility and gentleness;
 - Truth and justice;
 - Forgiveness and mercy;
 - Purity and holiness;
 - Tolerance and peace; and
 - Service and sacrifice³.
- We will comply with any Diocesan Protocols for a committed working relationship between the Diocese and the Catholic Academy Trust Company;
- We will ensure that we continually communicate with and, where appropriate, seek support and guidance from our Diocesan education service;
- We will strive to work as a team in which constructive working relationships are actively promoted;
- We will express views openly, courteously and respectfully in all our communications with other Directors *and staff both inside and outside of meetings (NGA)*;
- We will support the chair in *leading the board (NGA)*, ensuring appropriate conduct both at meetings and at all times;
- We are prepared to answer queries from other board members in relation to delegated functions and take into account any concerns expressed, and we will acknowledge the time, effort and skills that have been committed to the delegated function by those involved;
- We will seek to develop effective working relationships with the Diocese, parish, the senior leadership, staff and parents, pupils, the local authority, other relevant agencies, and the local community.

Confidentiality

- We will observe confidentiality in all circumstances in particular in relation to matters concerning specific members of staff, *families (NGA)* or pupils, both inside or outside the Academy Trust Company, unless there is a lawful requirement for disclosure;
- We will exercise the greatest prudence at all times when discussions regarding the business of the Academy Trust Company arise outside a Governing Board meeting;
- We will exercise care and skill when communicating through social media;
- We will not reveal the details of any Governing Board vote;
- *We will ensure all confidential papers are held and disposed of appropriately (NGA)*;
- *We will maintain confidentiality even after we leave office (NGA)*.

³ Christ at the Centre: a summary of why the Church provides Catholic schools, Rev Marcus Stock, 2005

Conflicts of interest and transparency

- We will always act in the best interests of the charitable objects set out in the governing documents of the Academy Trust Company;
- We understand that a conflict of interest or a conflict of loyalty shall not be deemed to occur solely from the fact that any Director is also a trustee, charity trustee, Director of any Catholic school, Diocese, or religious order, or of any other charity which permits its land to be occupied by a Catholic school or schools or other educational institutions⁴;
- We will record any pecuniary or other business interest (including those related to people we are connected with) that we have in connection with the Governing Board's business in the Register of Business Interests, and if any such conflicted matter arises in a meeting we will offer to leave the meeting for the appropriate length of time. We accept that the Register of Business Interests will be published on the Academy Trust Company's website;
- We will also declare any conflict of loyalty at the start of any meeting should the situation arise;
- *We will act in the best interests of the Trust as a whole and not as a representative of any group (NGA).*

BREACH OF THIS CODE

- If we believe this code has been breached, we will promptly raise this issue with the chair, consult with the Diocese, determine the investigatory process (if any); the Governing Board will only use suspension/removal as a last resort after seeking to resolve any difficulties or disputes in more constructive ways;
- Should it be the chair that we believe has breached this code, another Director, such as the vice chair will investigate.

We are also reminded of the declaration signed upon appointment (the basic principles of which are agreed by all of the Governing Board) which you can find in this Code of Conduct at Appendix 1 for Foundation Directors in an Academy Trust Company.

⁴ 98A of Multi Academy Trust model Articles of Association for Catholic Schools (February 2015)

Appendix 1: Copy of Foundation Director of a Single or Multi Academy Trust Company Declaration †ethos

- * I am a committed and practising Catholic in good standing with the Church
- * I am a Catholic priest/deacon in the Diocese of [Diocese][or religious order]
(* delete as applicable)

I wish to serve the Diocese of [Diocese][or religious order] in the ministry of Foundation Director and in compliance with the Diocesan Protocols with my Diocese.

I have read and understood the criteria for appointment and, to the best of my knowledge am eligible for appointment.

In offering to serve as a Foundation Director in the Diocese of [Diocese][or religious order], I undertake that I will serve the [Diocesan Bishop][or Religious Superior] faithfully in this ministry. If appointed, I declare that I will be able and willing to give priority to my duties as a Director and will undertake to discharge those duties with due care and diligence.

I undertake to the [Diocesan Bishop][Religious Superior]:

- To preserve and develop the Catholic character of the Academy Trust Company to which I am appointed, and all the academies within it;
- To ensure that the Academy Trust Company is conducted in accordance with the governing documents of the Academy Trust Company which includes the provisions of:
 - Canon law⁵
 - The Curriculum Directory and Bishops' statements on religious education; and
 - Any Diocesan directives relating to schools.
 - [any religious order documents relating to the conduct of schools].
- To conduct the Academy Trust Company and all its academies in accordance with the [Diocesan] trust deed;
- To uphold the objects of the Academy Trust Company upon appointment and before and during the exercise of any duties as a Director⁶;
- To conduct the Academy Trust Company and its academies in accordance with its Articles of Association any Scheme of Delegation adopted by the Academy Trust Company;
- To become familiar with, to support and implement the policies and procedures of the Diocese [and the religious order], including the Diocesan Bishop's policies on education, including religious education, any Admissions Guidance issued by the Diocese, and the expectations of the Diocesan Bishops collectively, specifically the Bishops' Memorandum on the Appointment of Teachers in Catholic Schools;
- To consider not only the interests of the Academy Trust Company but also the interests of other Catholic schools, academies and colleges and of Catholic education throughout the Diocese;
- To respond to the needs of the Catholic community as a whole as represented by the Diocesan Bishop;
- To attend relevant training including Diocesan training, Director induction and continuing professional development training, as required by the Diocese;
- In all actions, serving as a witness to the Catholic faith.

⁵ This means the Canon law of the Catholic Church from time to time in force, which includes the 1983 Code of Canon Law, and any Particular Law such as legislation of the Bishops' Conference, directives of the Diocesan bishop and legislation of the school's religious order (if any).

⁶ 45A of Multi Academy Trust model Articles of Association for Catholic Schools (February 2015)

I declare that I am not disqualified by law from appointment as a Director. I confirm that I agree to a barred list check being made on me or any subsequent checks that may be required by law or good practice. I understand that any refusal to do so may result in the termination of any appointment.

I consent to the information given on this form and other information collected with it being held and processed by the Diocese [or religious order], including the sharing of data with third parties where required, in accordance with Diocesan [or religious order] policy.

I undertake that I shall tender my resignation as a Director if my circumstances change so as to contravene the Diocesan [or religious order] expectations at any time during the tenure of office or if, in the opinion of the Ordinary [or Religious Superior], my resignation would be in the best interests of Catholic education.

I understand and accept that the appointment and removal of Foundation Directors is at the absolute discretion of the Ordinary [or Religious Superior] and that, because of the nature of the decision-making process, the Ordinary [or Religious Superior] will not normally be able to engage in any discussion or correspondence with me and/or any third party where my nomination does not result in my appointment.

✚ This is a copy of the standard declaration that is required from you on appointment as is set out in the Nomination Form.

Appendix 2 – The Nolan Principles

The seven principles of public life

(Originally published by the Nolan Committee: The Committee on Standards in Public Life was established by the then Prime Minister in October 1994, under the Chairmanship of Lord Nolan, to consider standards of conduct in various areas of public life, and to make recommendations).

Selflessness - Holders of public office should act solely in terms of the public interest.

Integrity - Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must declare and resolve any interests and relationships.

Objectivity - Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

Accountability - Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

Openness - Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

Honesty – Holders of public office should be truthful

Leadership – Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.